



ATTORNEY'S DOCKET NO. V0139/7038 (HCL/MAT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nicholas H. Heintz and Christopher R. Houchens  
Serial No. 09/477,392  
Filed: January 4, 2000  
For: RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND  
USES THEREFOR  
Examiner: not yet assigned  
Art Unit: 1643

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 17th day of April, 2000.

  
Maryanne Trevisan

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BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to File Missing Parts of application mailed from the United States Patent and Trademark Office on February 22, 2000.

Attached is the Declaration and Power of Attorney executed by the inventor as well as an executed Small Entity Statement.

Also enclosed is a Preliminary Amendment canceling claims 24-28 and 30-35.

The attached check for \$750.00 includes the filing fee and additional claims over 20.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

Inventor(s): Nicholas H. Heintz and Christopher R. Houchens

Serial No: 09/477,392

Filed: January 4, 2000

CHECK BOX, if applicable:

For: RIP60 NUCLEIC ACID AND POLYPEPTIDE SEQUENCES AND USES THEREFOR

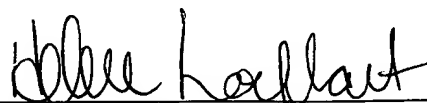
☐ **DUPLICATE****Fee Calculation Sheet**

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	39-20=	19x	\$ 18	= \$ 342.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	4-3=	1 x	\$ 78	= \$ 78.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260.00	= \$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,370.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 685.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 65.00
	TOTAL =				\$ 750.00

1. A check in the amount of \$ 750.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.



Helen C. Lockhart, Reg. No. 39,248  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617) 720-3500  
 Attorneys of Record

Docket No. V0139/7038 (HCL/MAT)

Date: April 11/2000

Serial No: 09/477,392

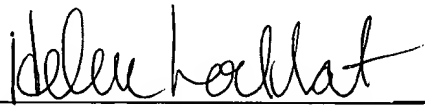
-2-

Group Art Unit: 1643

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 259) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By   
Helen C. Lockhart (Reg. No.: 39,248)  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: V0139/7038

DATE: April 11/2000

X04/22/00



ATTORNEY'S DOCKET NO. V0139/7038 (HCL/MAT)

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Mayanne Trevisan

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**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**PRELIMINARY AMENDMENT**


Sir:

**In the Claims**

Before calculating the fees, please cancel claims 24-28 and 30-35.

Respectfully submitted,

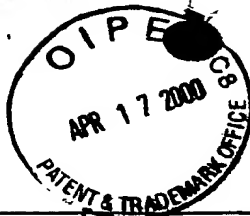
WOLF, GREENFIELD & SACKS, P.C.



Helen C. Lockhart, Reg. No.: 39,248  
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600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. No. (617) 720-3500

Attorney's Docket No.: V0139/7038

Date: April 11/2000  
x04/22/00



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/477,392

01/04/00

HEINTZ

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V0139/7038-

0262/0222

HELEN C LOCKHART  
WOLF GREENFIELD & SACKS P.C.  
600 ATLANTIC AVENUE  
BOSTON MA 02210

4.22.00

DATE-MAILED:

1643

02/22/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1640.00

- ☒ 1. The statutory basic filing fee is:

☒ missing.  
☐ insufficient.

Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:

\$ 540 for 30 total claims over 20.

\$          for          independent claims over 3.

\$ 260 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

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01 FC:201	345.00 OP
02 FC:202	39.00 OP
03 FC:203	171.00 OP
04 FC:204	130.00 OP
05 FC:205	65.00 OP

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Mason T-G

Customer Service Center  
Initial Patent Examination Division (703) 308-1202